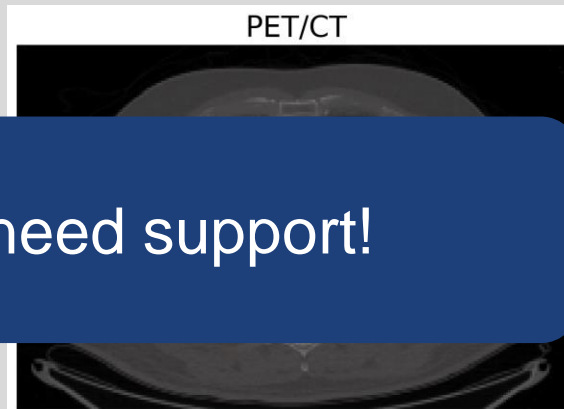
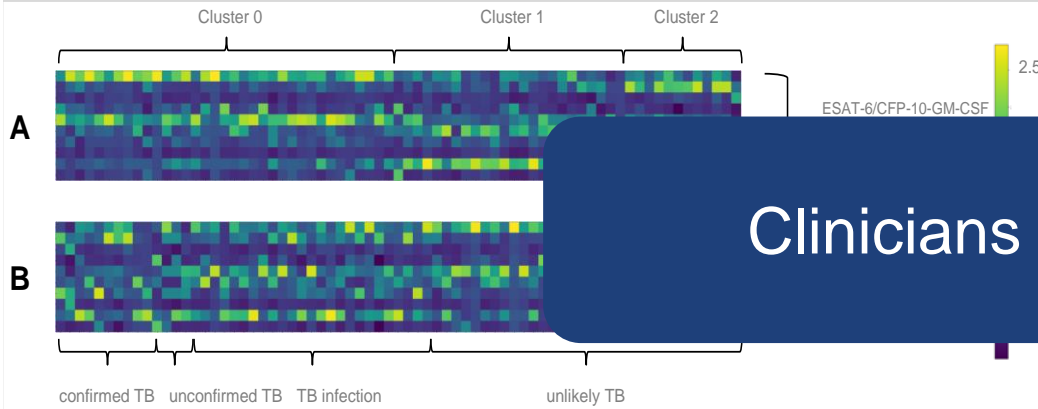




# Clinical Research and Machine Learning: Bridging the Gap

Prof. Julia Vogt  
Medical Data Science Group  
Department of Computer Science  
ETH Zurich

# Need for Machine Learning in Medicine



Clinicians need support!

Develop new ML methods and tools



**HISTORY OF PRESENT ILLNESS:** Here today for evaluation. She developed dyspnea and was found to have a right sided pleural effusion on chest x-ray. Thoracentesis cytology was indicative of malignant cells consistent

Crucial: high quality data!

**FAMILY HISTORY:** No family history in first-degree relatives. History of esophageal cancer in aunt, melanoma in uncle. Father died of heart attack at AGE.

PD Dr. med. Gabor Sittich  
HerzZentrum Hirlanden  
8008 Zürich

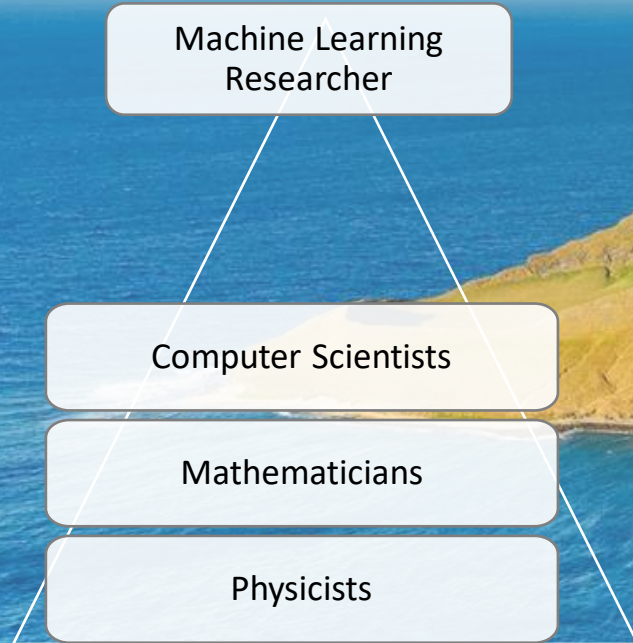
(48137), Buhmann Joachim, Zürich, 30.05.1959, (49.8)  
HDL-Labor

19. Mai 2009 / 03  
10:10:48  
Seite 1/11

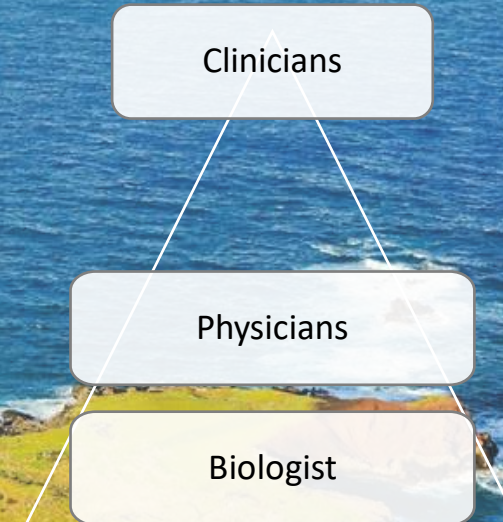
Bezeichnung	Einheit	U-GW	O-GW	22.07.07	28.02.08	16.03.09	14.05.09
CRP	mg/l	8	<8	<8	<8		
Hämoglobin	g/l	13	18	15.3	15.5		
Hämatokrit	vol%	41	54	45.6	47.6		
Erythrozyten	Mio./mm <sup>3</sup>	4.5	6.5	4.95	5.29		
MCH	pg	27	21	30.8	29.2		
MCV	fL	83	95	82	90		
MCHC	g/dl	32	36	33.4	32.3		
Leukozyten	/mm <sup>3</sup>	4	9	4.2	4.7		
Thrombozyten	/mm <sup>3</sup>	150	400	243	238		
Natrium	mmol/l	136	149	139	143		
Kalium	mmol/l	3.8	5	4.4	4.8		
Chlorid	mmol/l	98	106	100	101		
Glukose	mmol/l	3.9	6.1	3.5*	5.0		
HbA1c	%	4.2	6.5		5.0		
Kreatinin	umol/l	53	87	87	89		
AST	U/L	8	38	32	24		
OPT/ALT	U/L	4	44	31	17		
Alb. Phos.	U/L	39	126		43		
Cholesterin, total *	mmol/l	3.69	6.10	5.47			
HDL-Cholesterin *	mmol/l	0.87	1.17	0.88			
Triglyceride *	mmol/l	1.17	1.13	1.27			
LDL-Cholesterin *	mmol/l	2.29	4.42	4.02			
VLDL	mmol/l	.53	.51	.57			
Chol./HDL-Cholesterin *		4.2	5.2	6.2			

Improve patient care with machine learning

# The Need for Interdisciplinary Collaborations

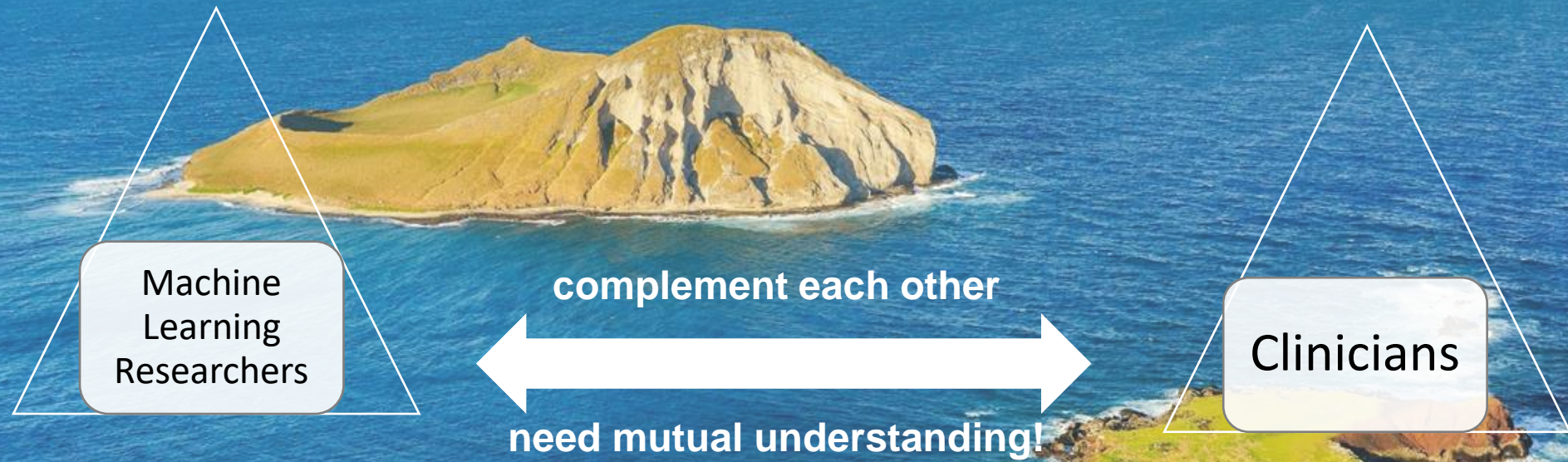


→ methods not applicable to real clinical problems



→ models might overfit, or might not fulfill underlying statistical assumptions

# Bridging the Gap



# Bridging the Gap

---

## Translational barrier:

State-of-the-art ML techniques are stalled at the research paper level and are not implemented into daily clinical practice



**Transparency!**

**Decision Support Tools!**

# Overview of the Group's Machine Learning Research

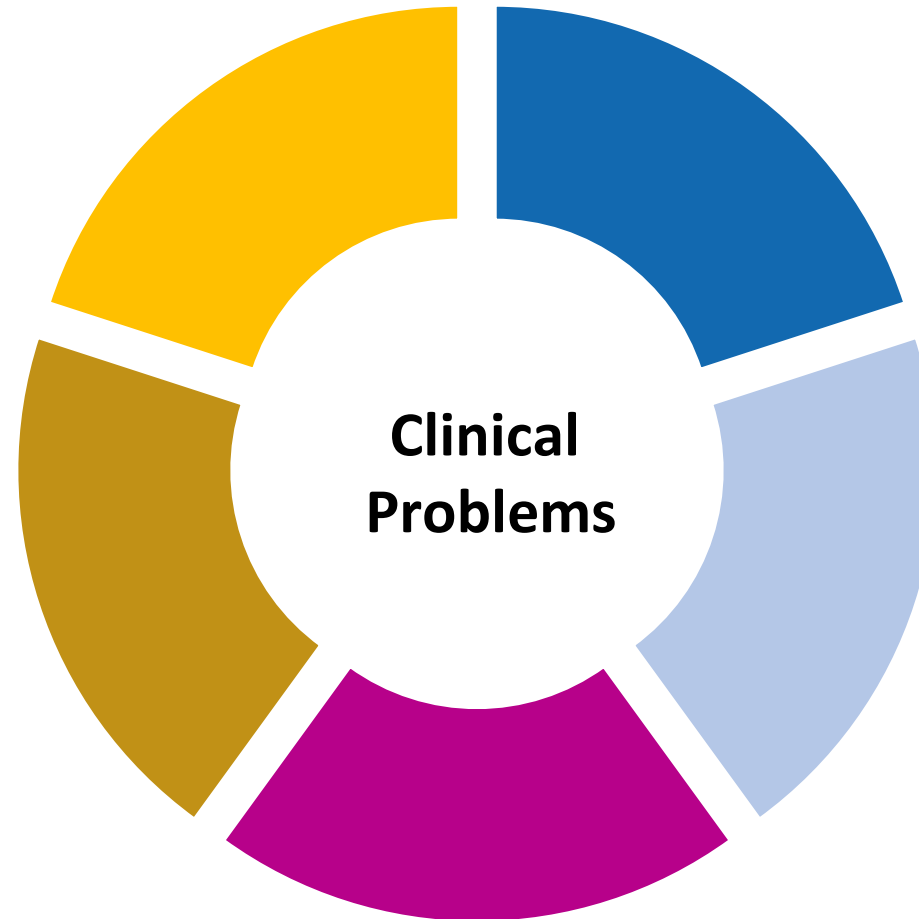
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Decision Support Tools

Multimodal Data Integration

Transparent Methods

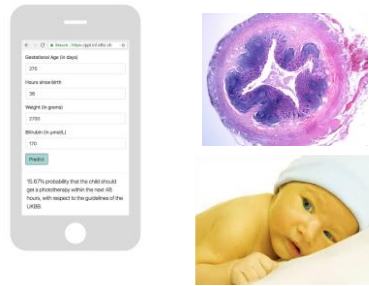
Structure Detection



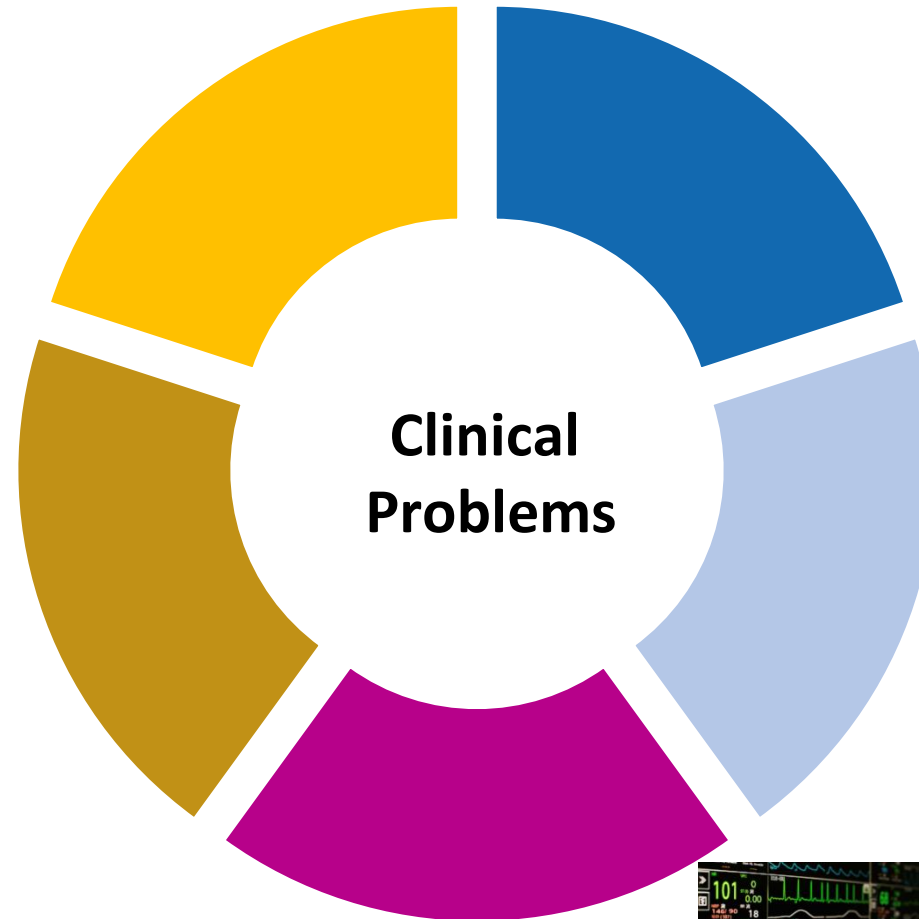
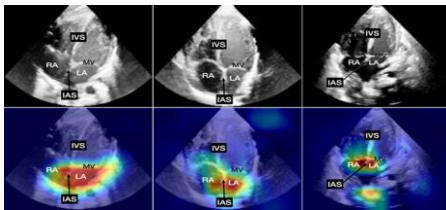
Time-Varying Data

# Overview of the Group's Machine Learning Research

## Decision Support Tools



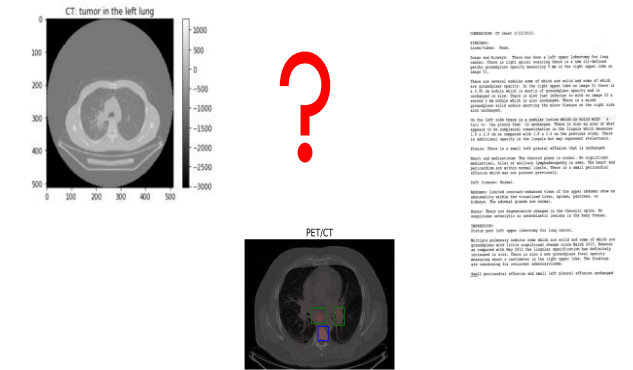
## Transparent Methods



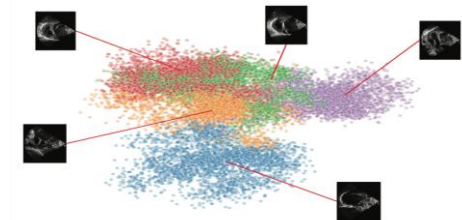
## Time-Varying Data



## Multimodal Data Integration

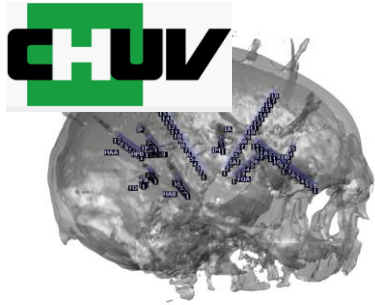


## Structure Detection





# Examples of Clinical Projects



Epilepsy



Sepsis (Blood Poisoning)



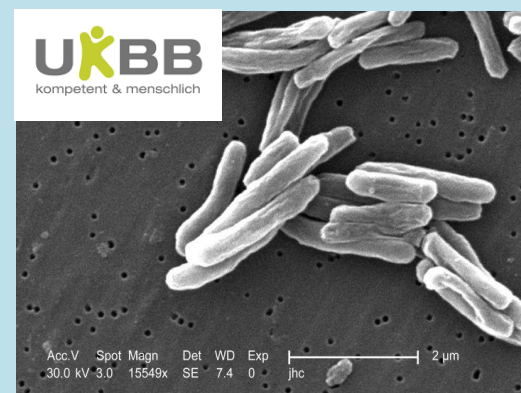
Appendicitis



Heart-Defects



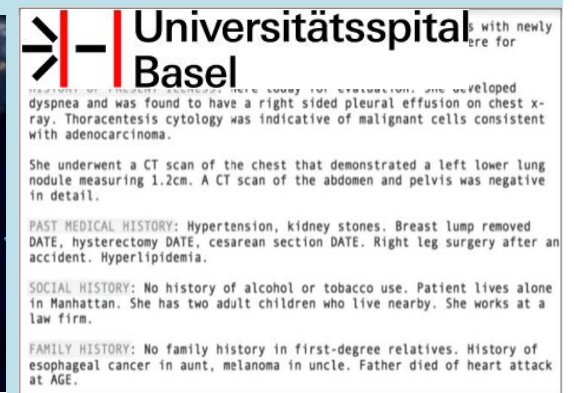
Severe Jaundice



Tuberculosis

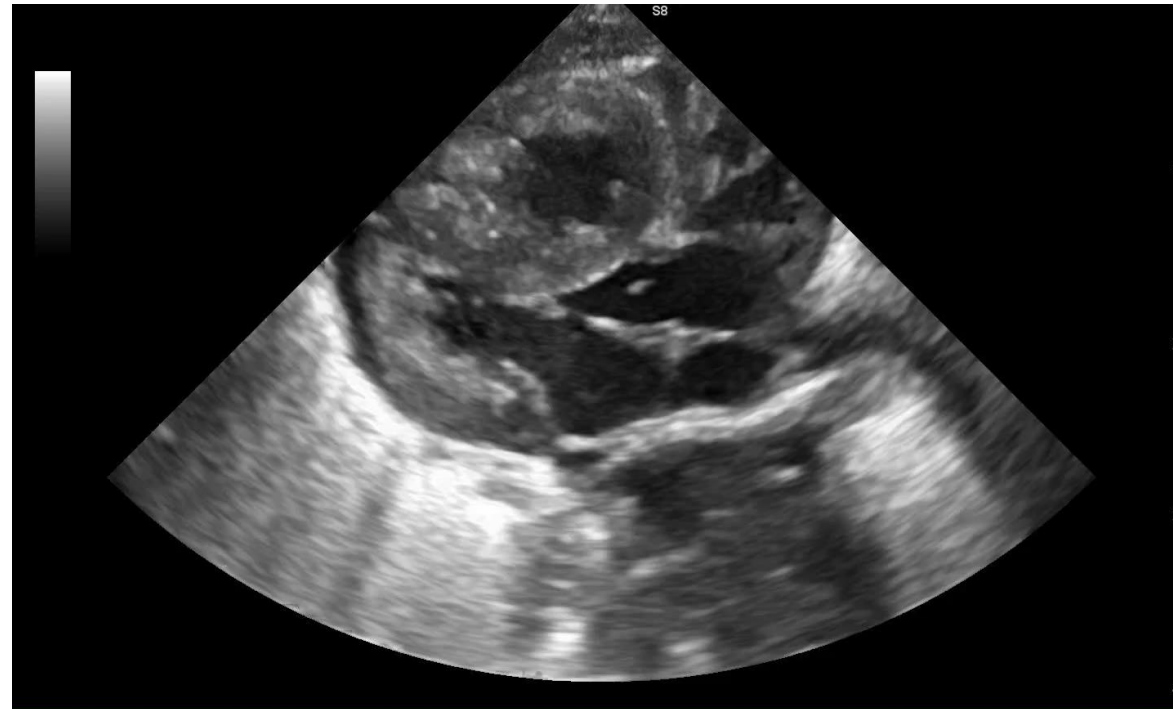


Rare Diseases



Electronic Health Records

# Example 1: Detecting Heart Defects in Newborns



# Heart Echo Data Set (5 different views)

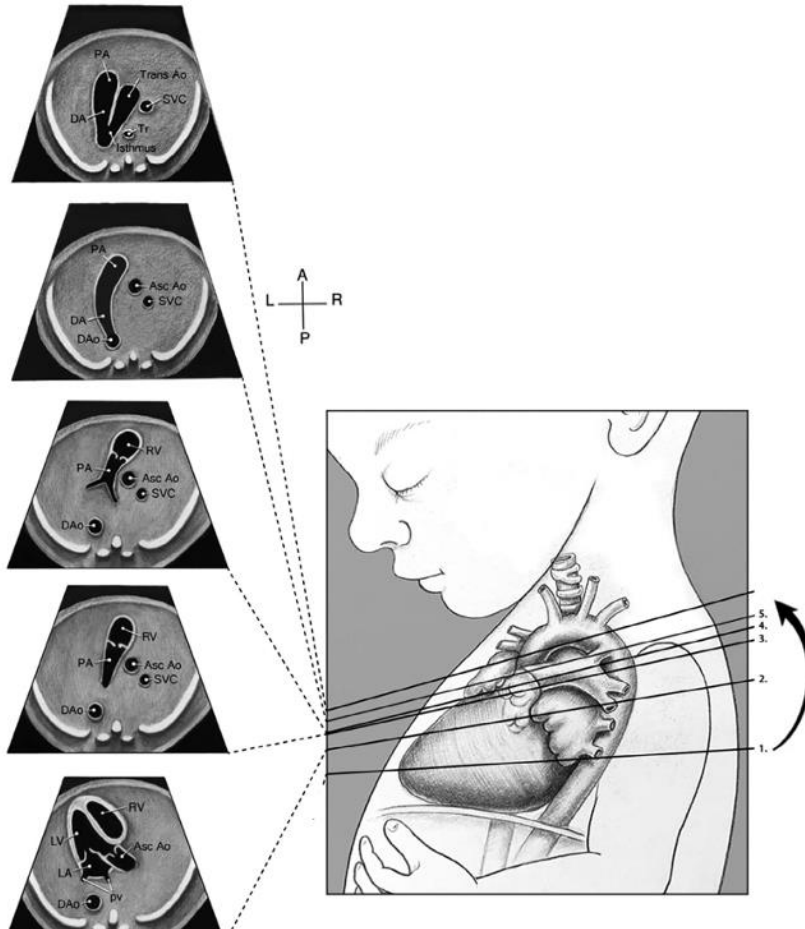
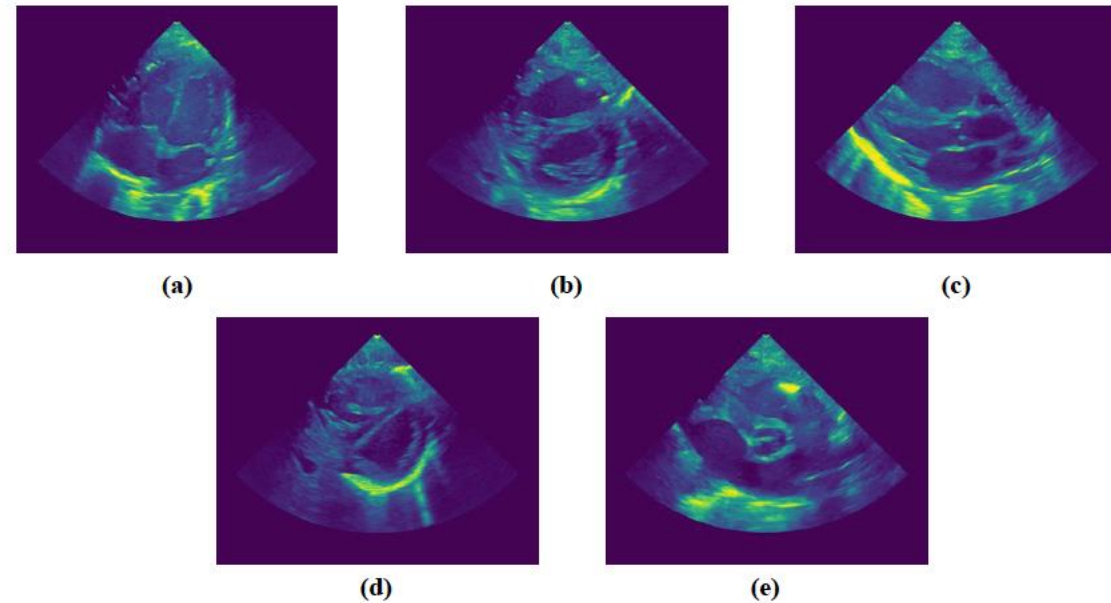
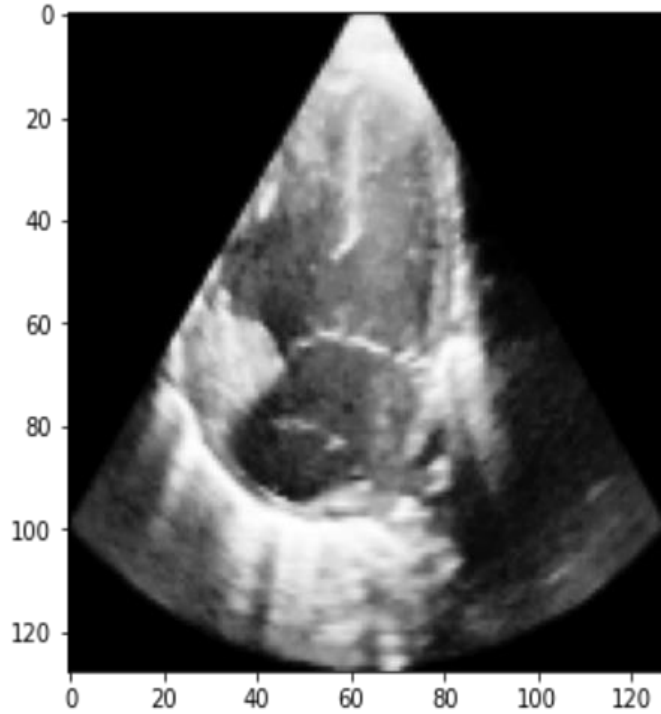


Image: obgynkey.com



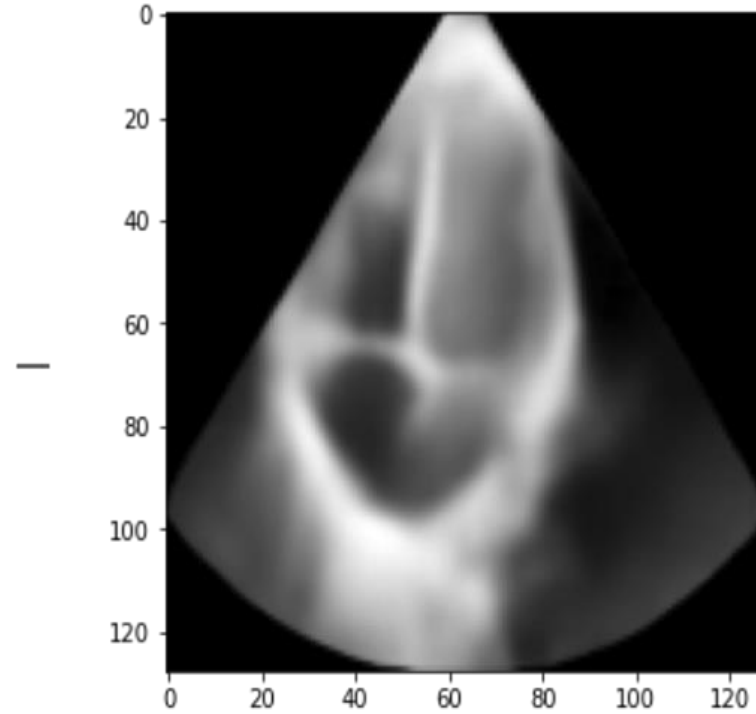
# Anomaly Detection

$X$



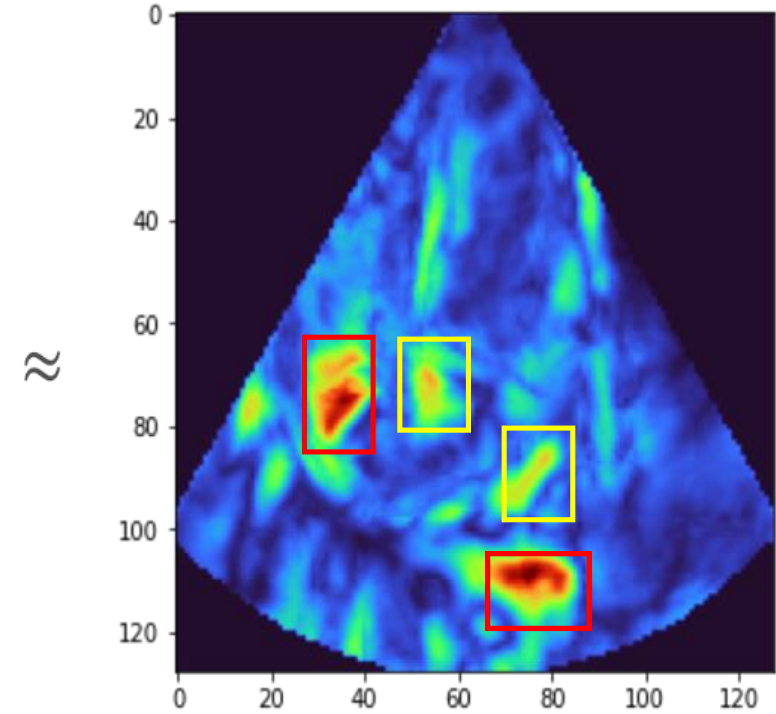
Ultrasound image

$X_{reconstructed}$



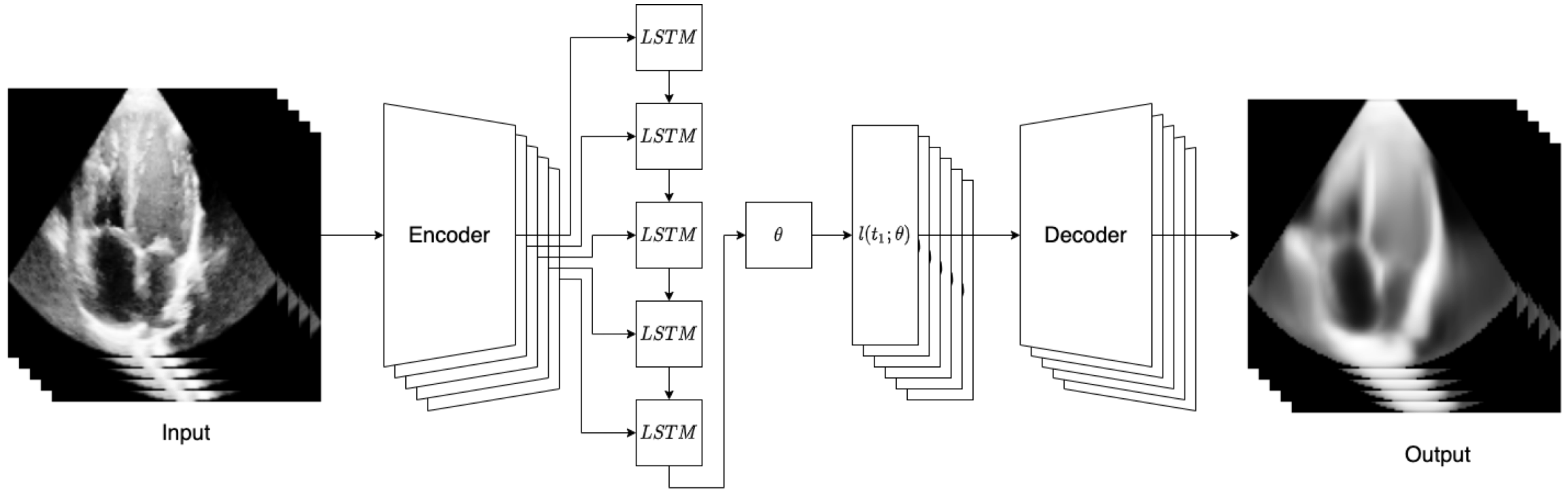
Reconstructed healthy heart

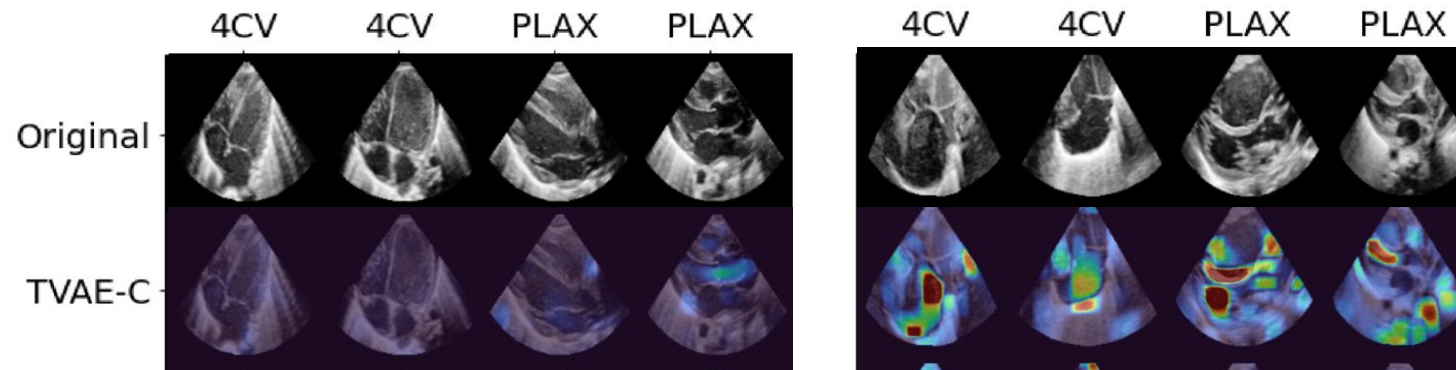
$Anomalies$



Visualization of the differences

# Latent Trajectory Model





Proceedings of Machine Learning Research 182:1–22, 2022

Machine Learning for Healthcare

## Anomaly Detection in Echocardiograms with Dynamic Variational Trajectory Models

Alain Ryser<sup>1</sup>, Laura Manduchi<sup>1</sup>, Fabian Laumer<sup>1</sup>, Holger Michel<sup>2</sup>, Sven Wellmann<sup>2</sup>, and Julia E. Vogt<sup>1</sup>

<sup>1</sup>Department of Computer Science, ETH Zurich

<sup>2</sup>Department of Neonatology, University Children's Hospital Regensburg (KUNO), University of Regensburg, Germany

# Example 2: Pulmonary Hypertension (PH) in Newborns

PH in newborns is a **rare heart condition**

- Contributes to morbidity and mortality
- Pulmonary Artery Pressure (PAP)  $\geq 25$  mmHG
  - Determined by Right Heart Catherisation

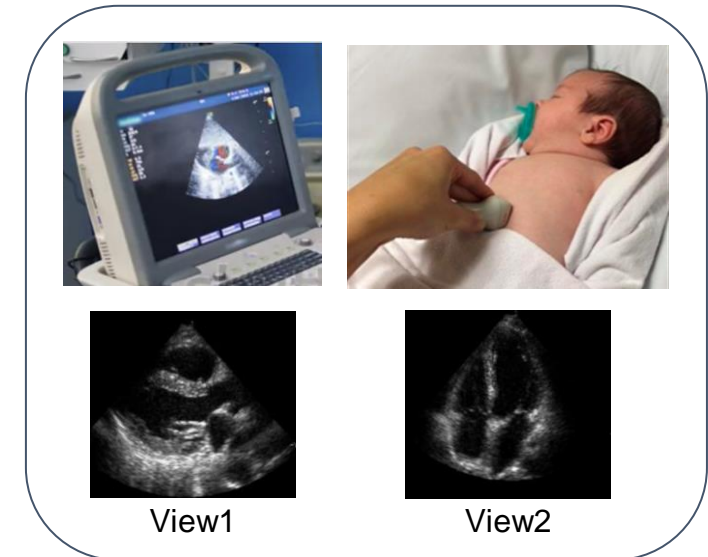


Manual assessment using **echocardiography (ECHO)**

- Early detection important for treatment
- But assessment is time consuming

Few methods for automatic PH prediction\*:

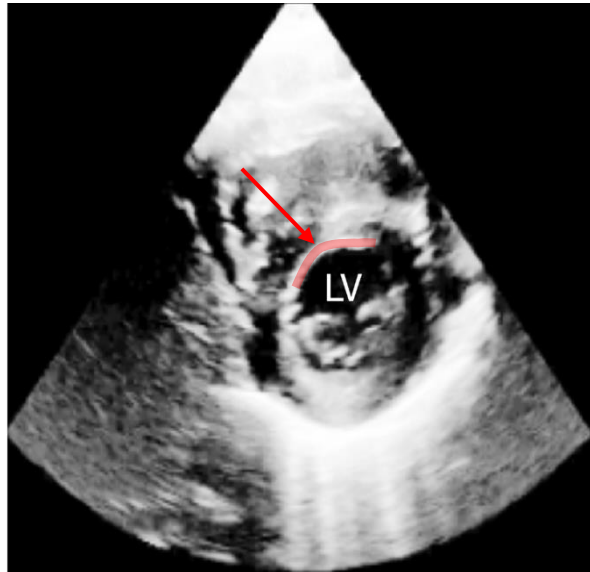
- Only for the **adult** population
- Do not predict PH **severity**
- Not **interpretable** or **explainable**



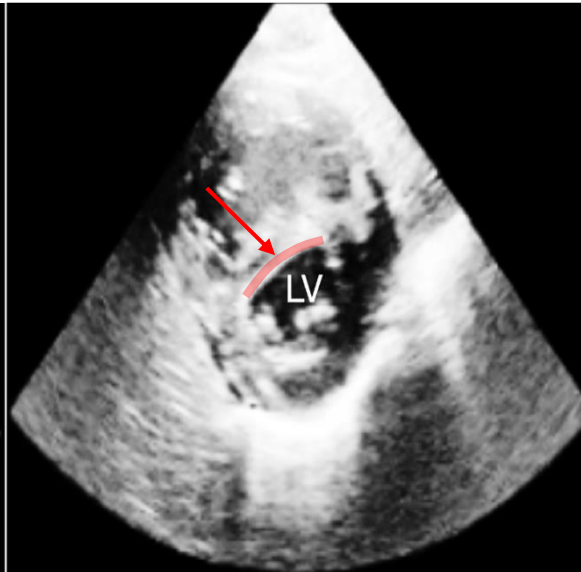
\*J. Zhang, et al. Fully automated echocardiogram interpretation in clinical practice: Feasibility and diagnostic accuracy. 2018.  
 A. Leha, et al. A machine learning approach for the prediction of pulmonary hypertension. October 2019.

# Pulmonary Hypertension in Newborns from ECHOs

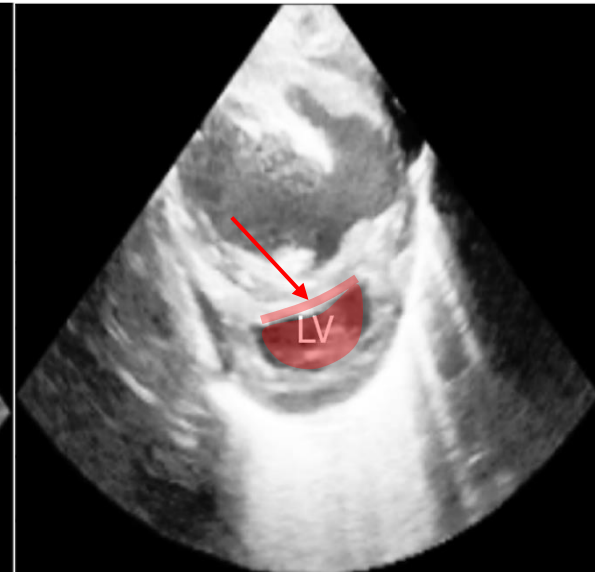
No PH



Mild PH



Severe PH





# Pulmonary Hypertension (PH) in Newborns

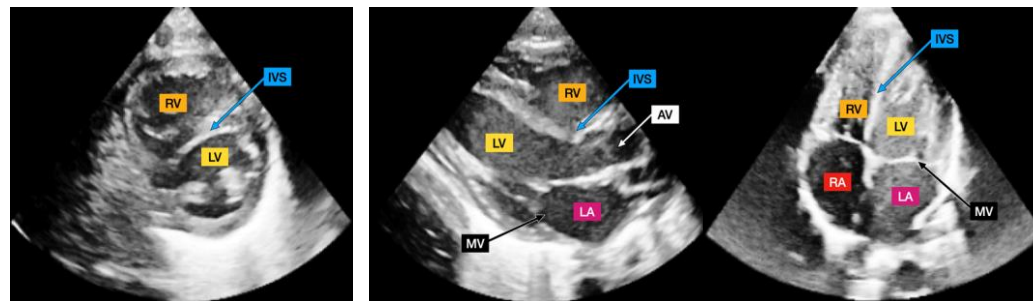
---

Aim: create a robust and **explainable** automatic approach for the detection of **PH** and its **severity** in newborns, using **echocardiography**.

# Echocardiography Dataset

ECHO from approx. 200 newborns from 5 views

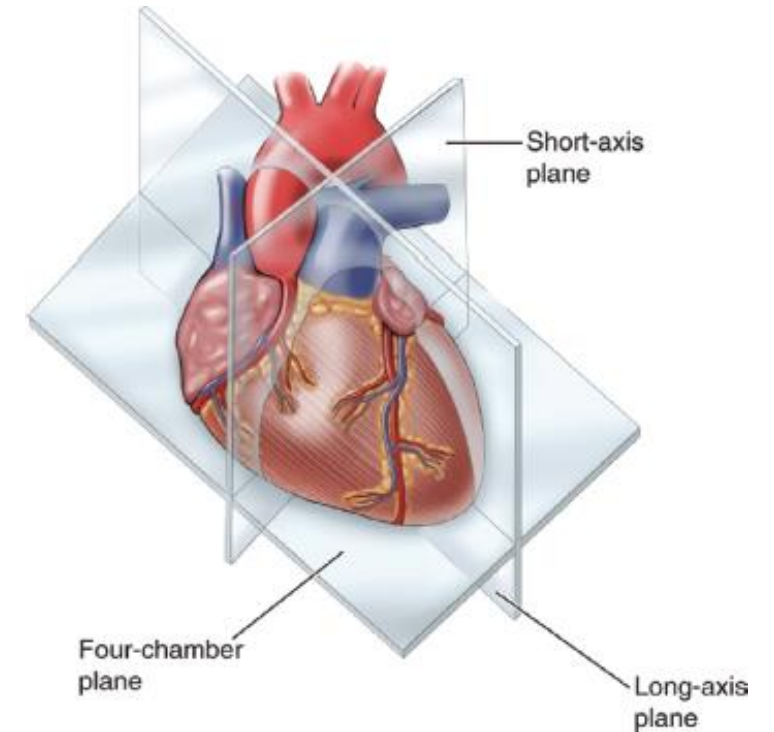
- 3 parasternal short axis views (PSAX):
  - PSAX-P, PSAX-S, PSAX-A
- Parasternal long axis view (**PLAX**)
- Apical four chamber view (**A4C**)



PSAX-P

PLAX

A4C



Label	Ratio
Healthy	65%
Mild	16%
Moderate to Severe	19%

# Methods - Overview

---

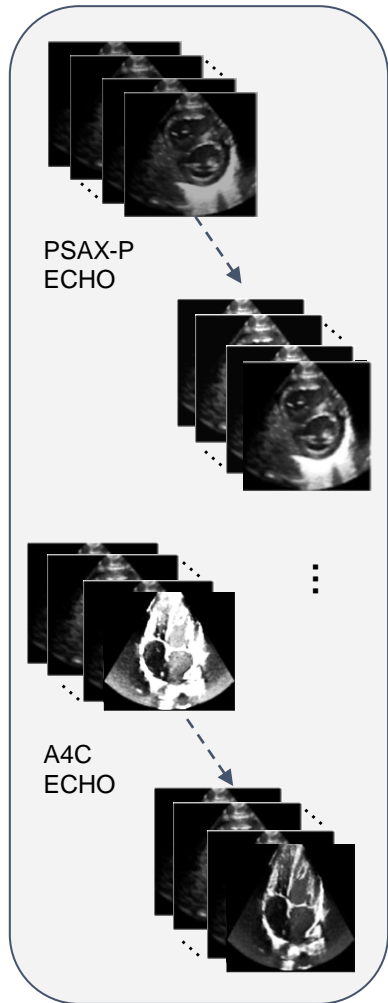
**Data  
Processing**

**Classification**

**Explainability**

# Methods - Overview

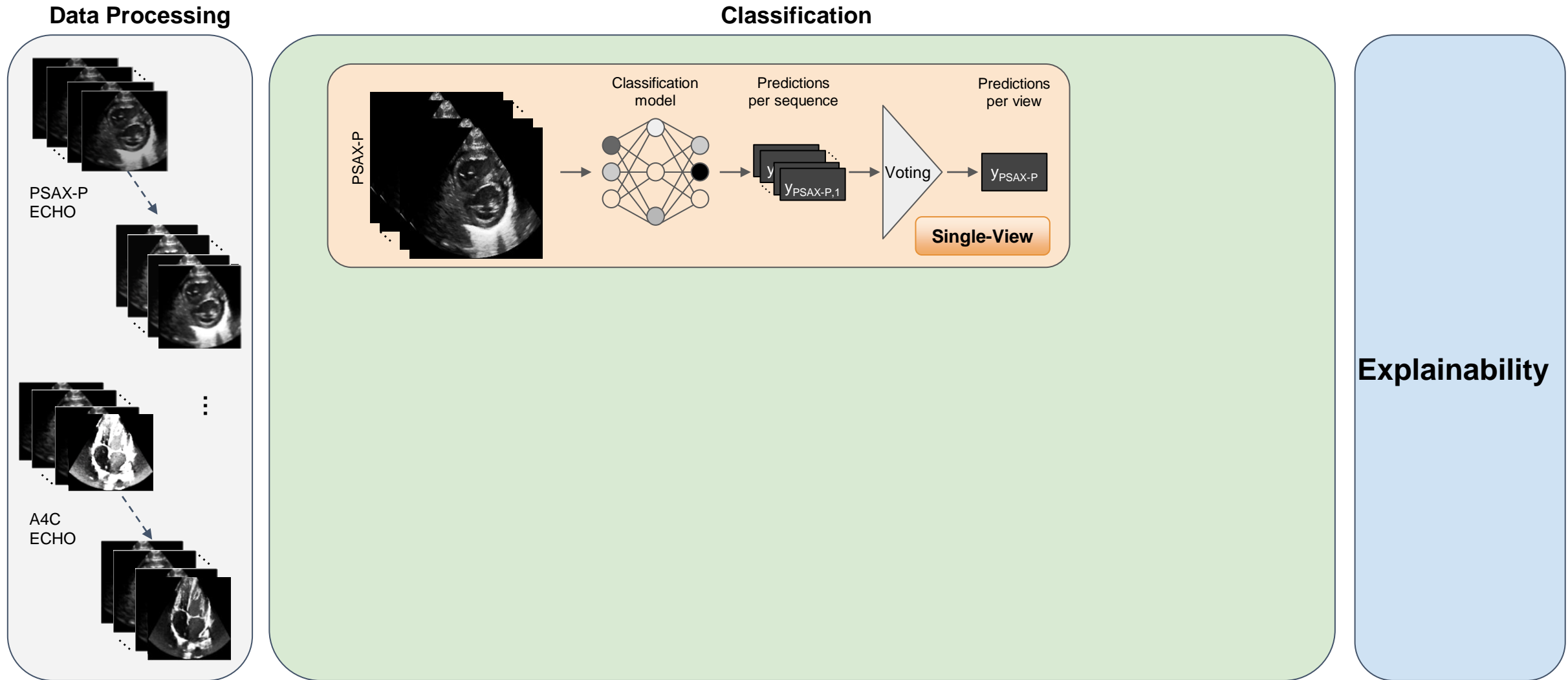
## Data Processing



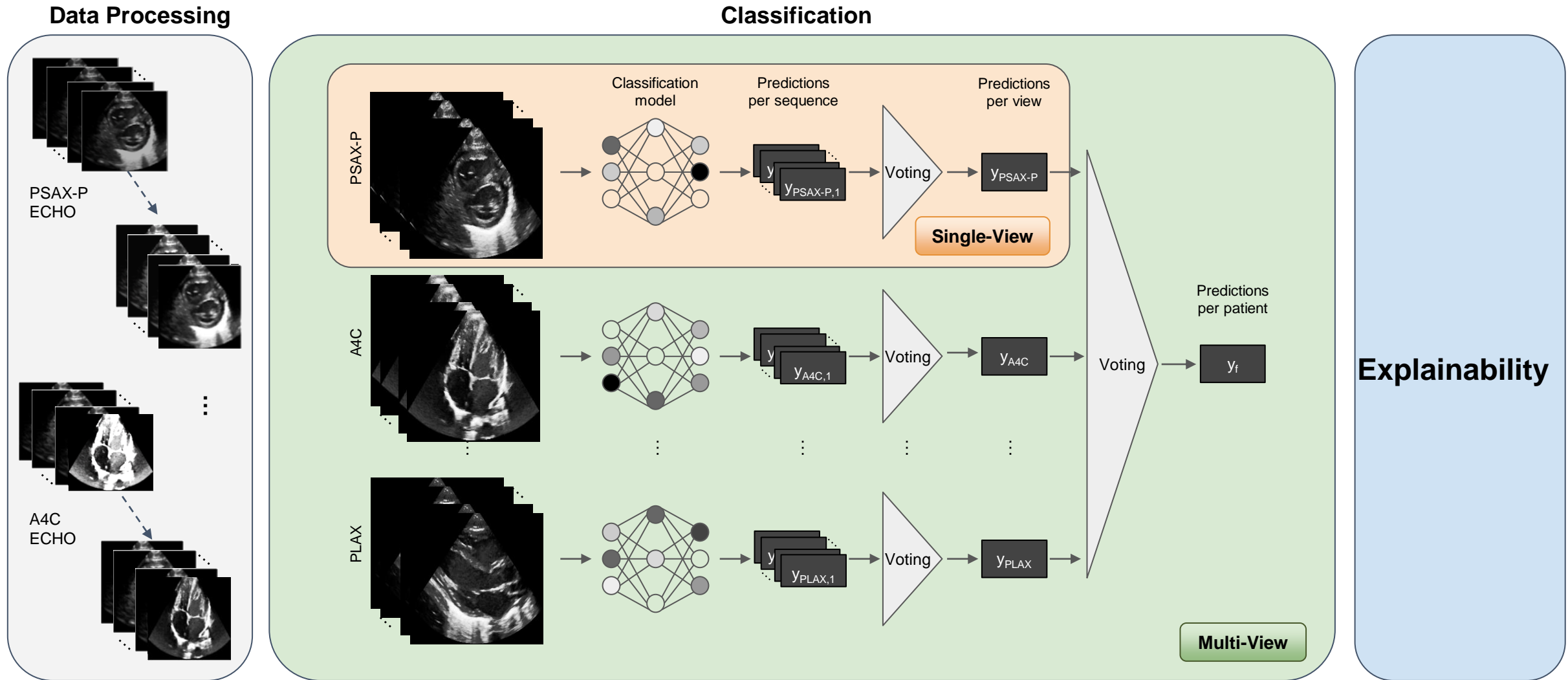
**Classification**

**Explainability**

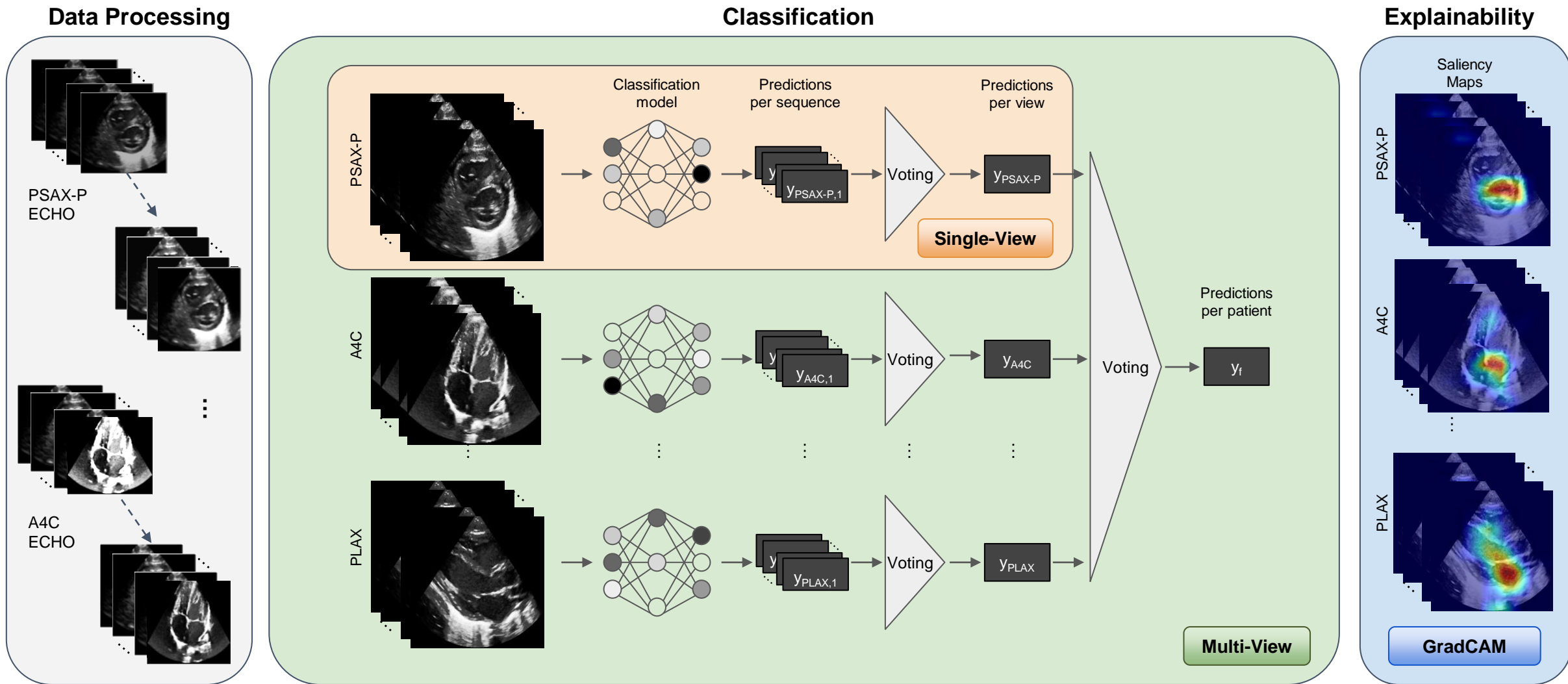
# Methods - Overview



# Methods - Overview








# Methods - Overview



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# Interpretable Prediction of Pulmonary Hypertension in Newborns Using Echocardiograms

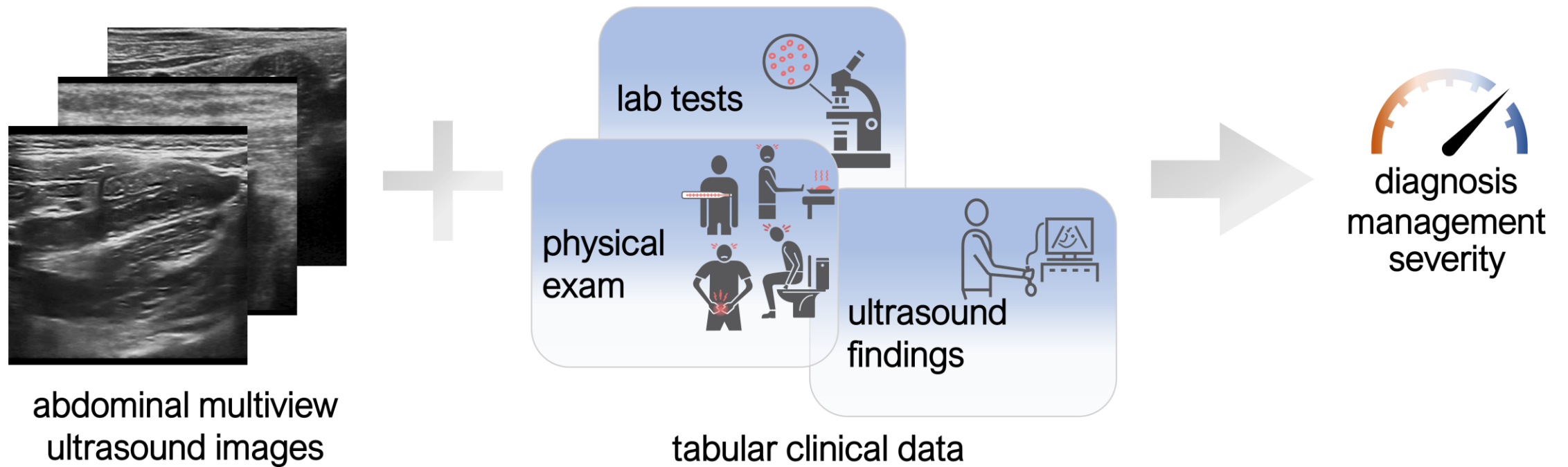
Hanna Ragnarsdottir<sup>1</sup>, Laura Manduchi<sup>1</sup>, Holger Michel<sup>2</sup>, Fabian Laumer<sup>1</sup> ,  
Sven Wellmann<sup>2</sup> , Ece Ozkan<sup>1</sup>  , and Julia E. Vogt<sup>1</sup> 

<sup>1</sup> Department of Computer Science, ETH Zürich, Zürich, Switzerland  
[ece.oezkanelen@inf.ethz.ch](mailto:ece.oezkanelen@inf.ethz.ch)

<sup>2</sup> Department of Neonatology, University Children's Hospital Regensburg (KUNO),  
Regensburg, Germany



# Example 3: Pediatric Appendicitis





gnosis/management/severity using tabular data:

 Pediatric Appendicitis Prediction Tool

## Using Machine Learning to Predict the Diagnosis, Management and Severity of Pediatric Appendicitis

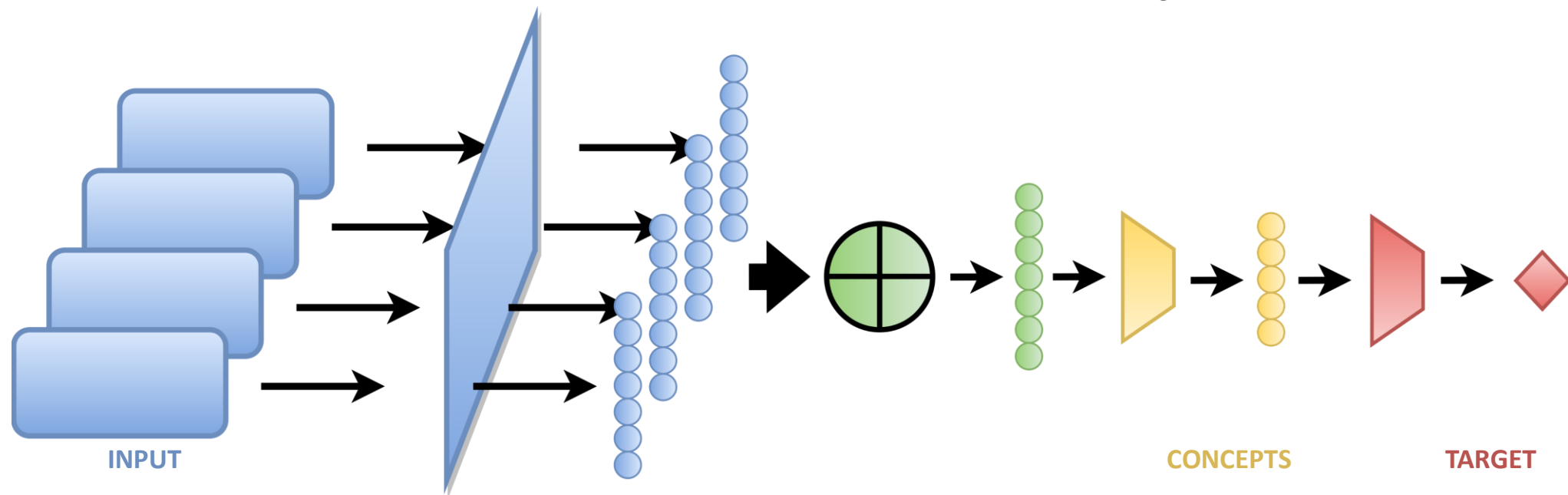
*Ricards Marcinkevics<sup>1†</sup>, Patricia Reis Wolfertstetter<sup>2\*†</sup>, Sven Wellmann<sup>3</sup>, Christian Knorr<sup>2‡</sup> and Julia E. Vogt<sup>1‡</sup>*

# Interpretable Ultrasonography-based Models

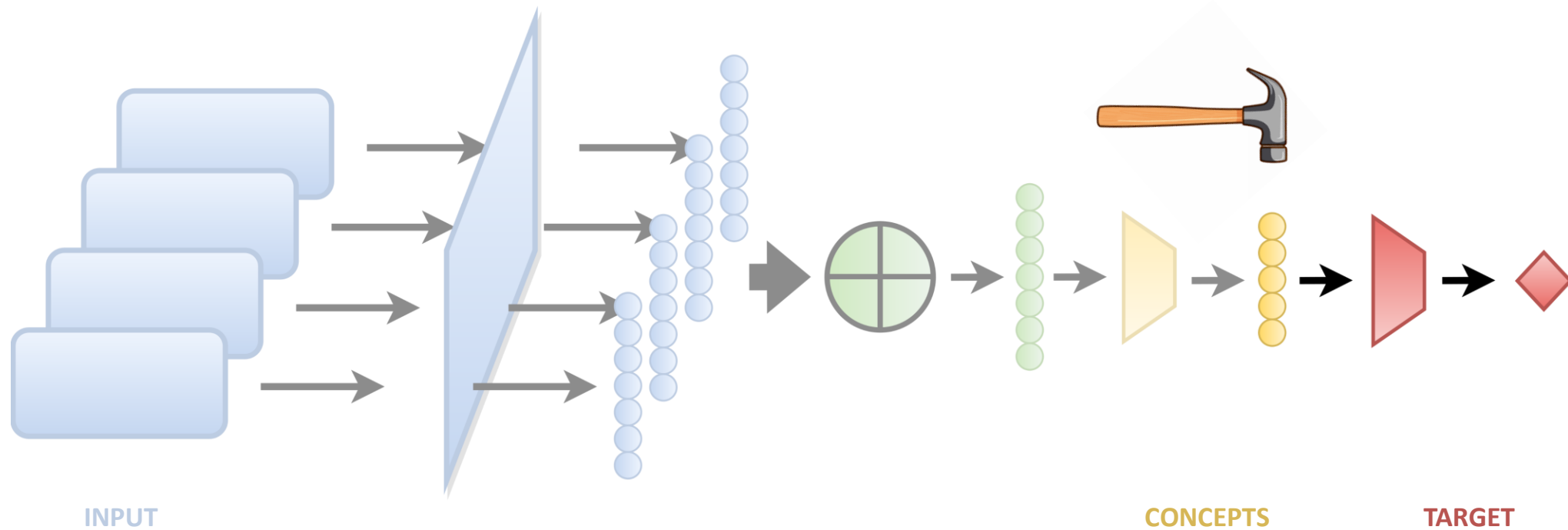
Approach: Concept-based Classification:

We build on [concept bottleneck models](#) to devise an interpretable and powerful predictive model

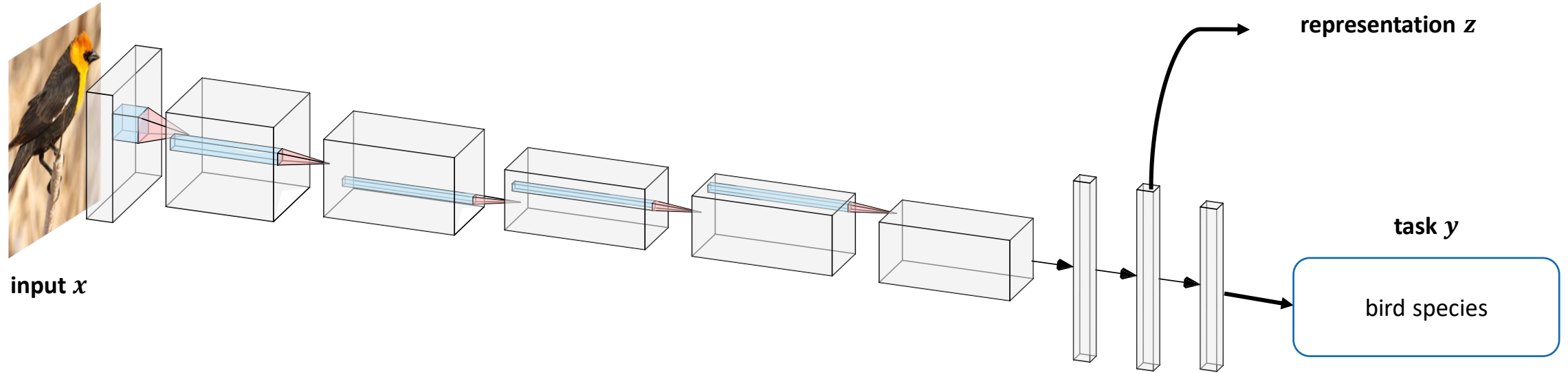
First, human-understandable concepts are predicted, then, the target variable:



# Interpretable Ultrasonography-based Models

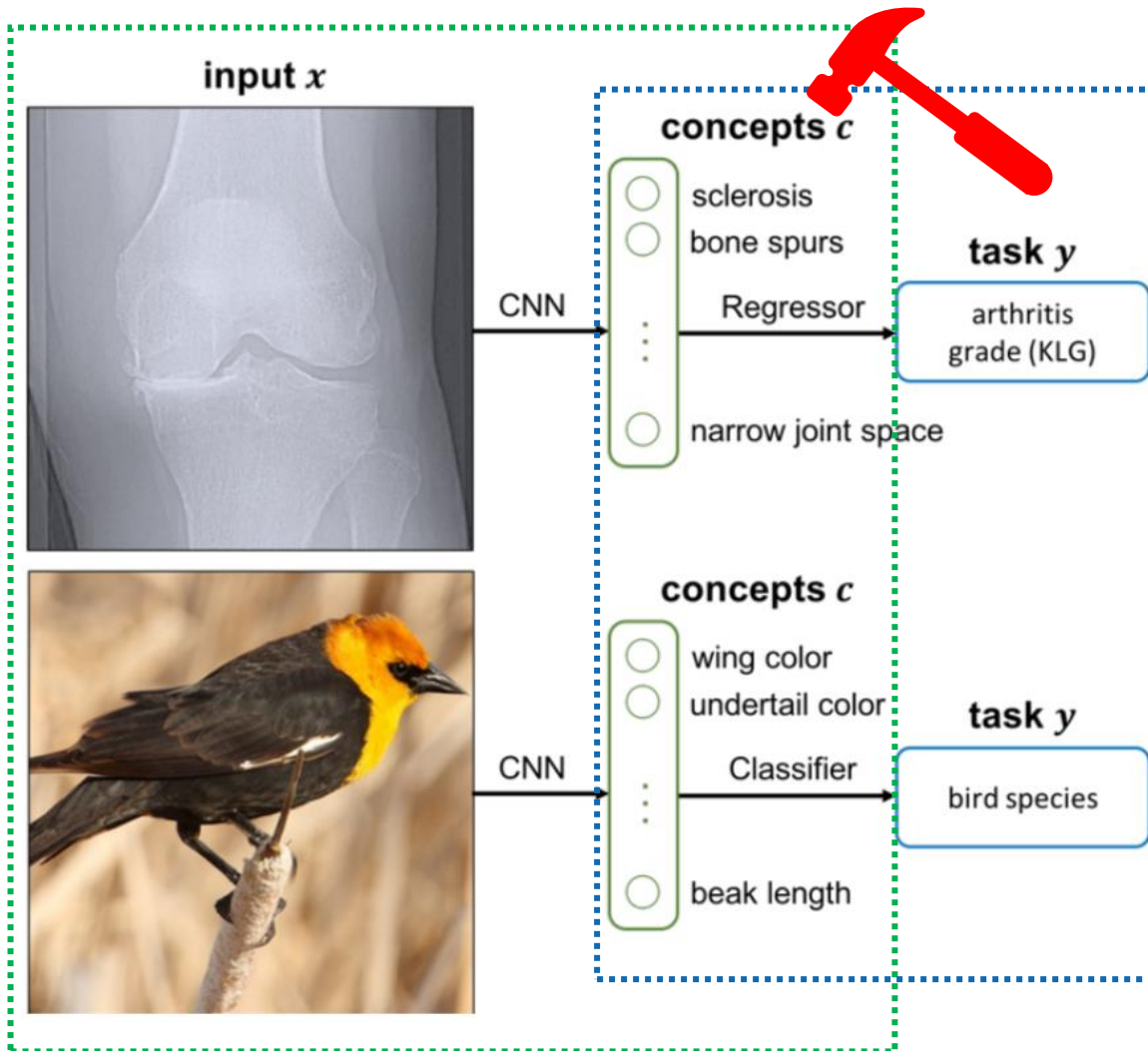


# Concept Bottleneck Models: Motivation



- Consider a classical end-to-end deep learning pipeline...
- Representations learned by deep networks are often unintelligible
  - not regularized
  - entangled
  - non-trivial for a user to interact with and ‘steer’ the model’s predictions
- Can we introduce an intermediate layer that is **human-understandable**?

# Concept Bottleneck Models: Idea

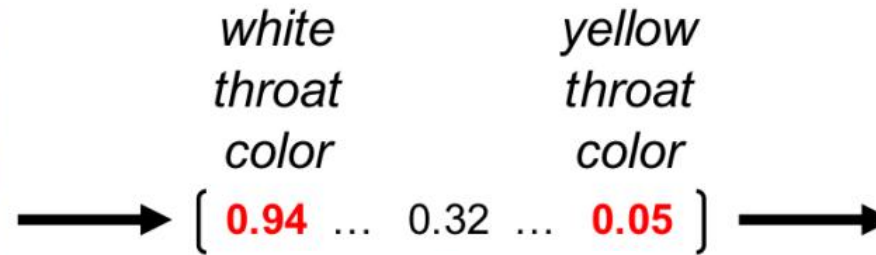
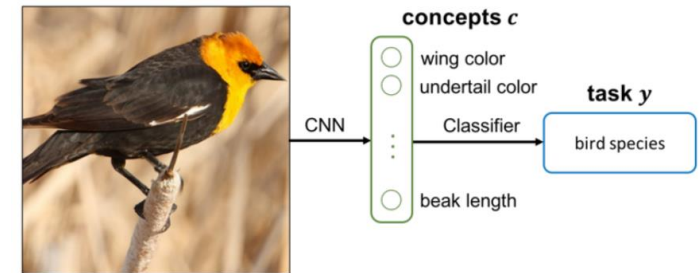


## Concept bottleneck models (CBMs):

- given an input  $x$ , first, predict human-understandable concepts  $c$
- then, predict target  $y$  based *only* on  $c$ , i.e.  $c$  is a bottleneck
- at **test time**: a human expert can intervene on the predicted  $c$  to change the model's output

# Concept Bottleneck Models: Interventions

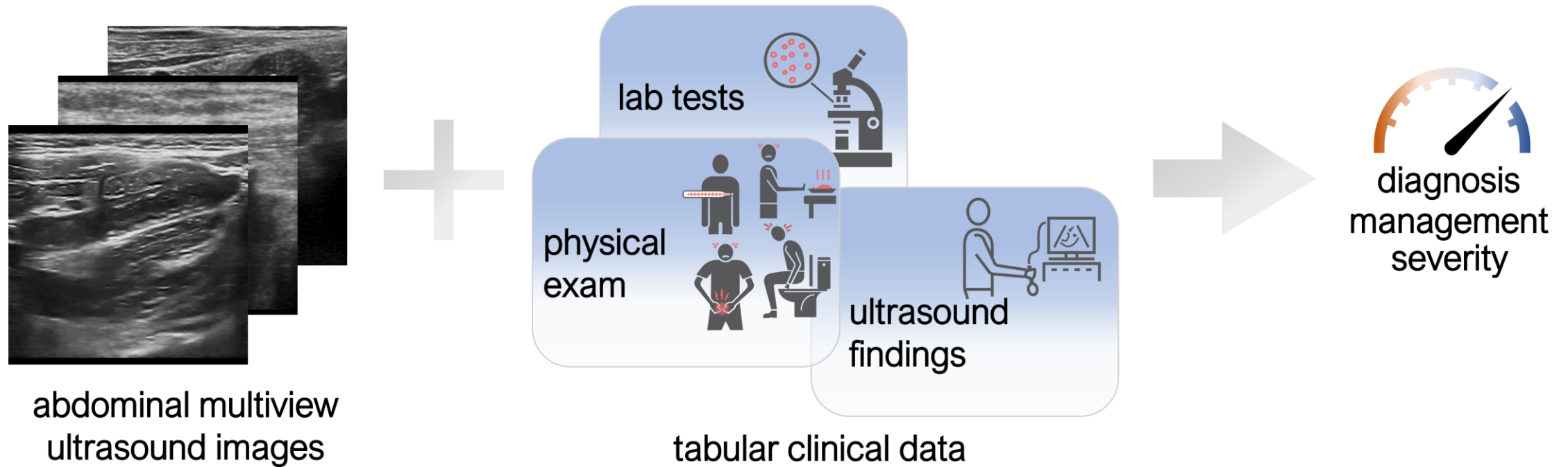
At test time, a user can edit the model's concept predictions  $\hat{c}$  to affect  $\hat{y}$ . This is an **intervention**!



**wrong**  
Ovenbird



# Example: Pediatric Appendicitis





# Concepts for Pediatric Appendicitis

---

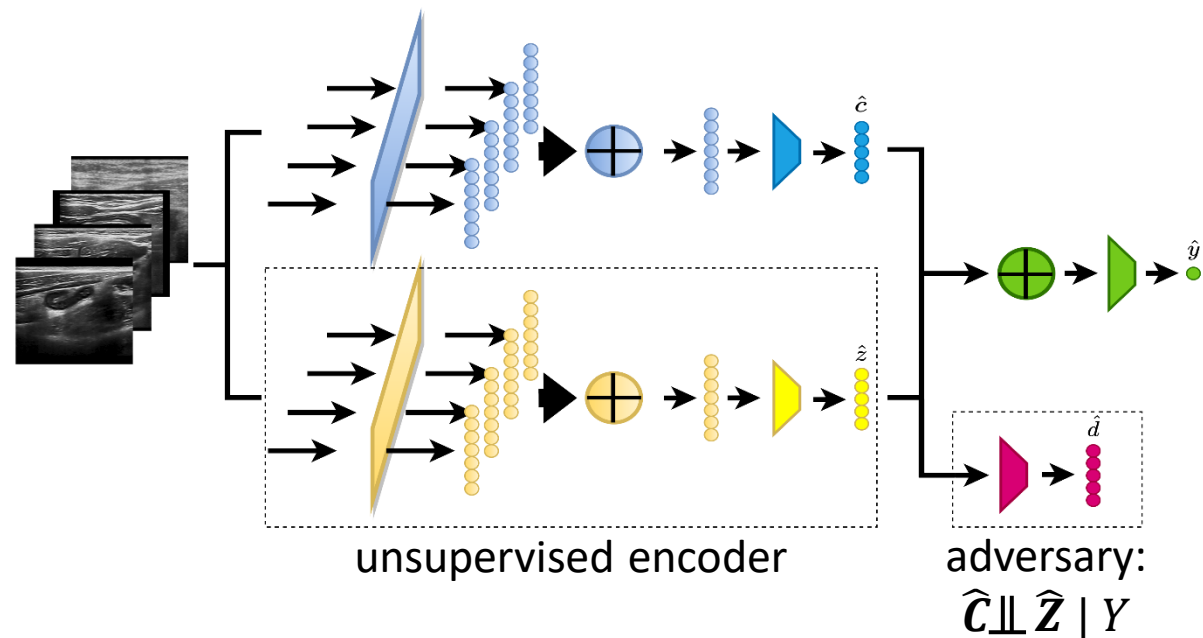
	Name	Description	Pos., %
<i>c</i> <sub>1</sub>	Visibility of the appendix	visibility of the vermiform appendix during the examination	76
<i>c</i> <sub>2</sub>	Free intraperitoneal fluid	free fluids in the abdomen	43
<i>c</i> <sub>3</sub>	Appendix layer structure	characterization of the appendix layers, e.g. irregular in case of an increasing inflammation	14
<i>c</i> <sub>4</sub>	Target sign	axial image of the appendix with the fluid-filled center surrounded by echogenic mucosa and submucosa and hypoechoic muscularis	13
<i>c</i> <sub>5</sub>	Surrounding tissue reaction	inflammation signs in tissue surrounding the appendix	33
<i>c</i> <sub>6</sub>	Pathological lymph nodes	enlarged and inflamed intra-abdominal lymph nodes	21
<i>c</i> <sub>7</sub>	Thickening of the bowel wall	edema of the intestinal wall, > 2–3 mm	8
<i>c</i> <sub>8</sub>	Coprostasis	fecal impaction in the colon	6
<i>c</i> <sub>9</sub>	Meteorism	accumulation of gas in the intestine	15

# MVCBM Extended

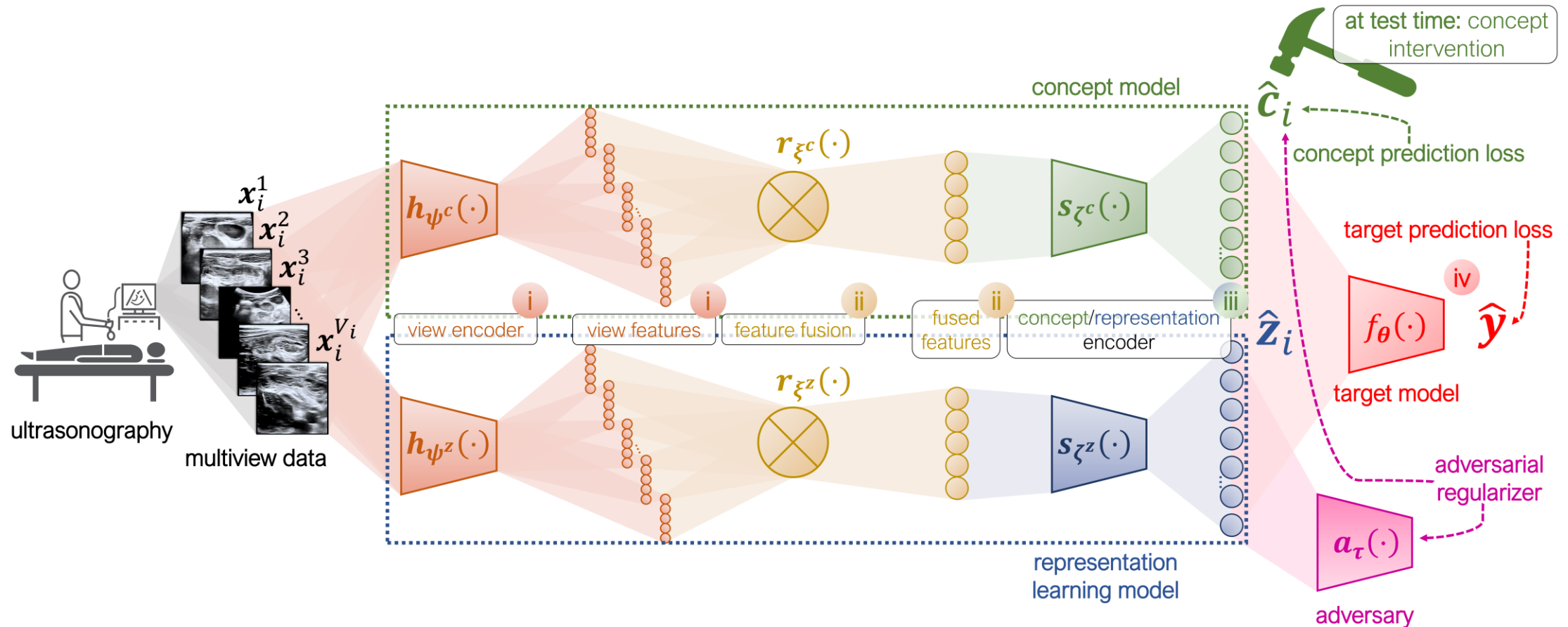
**Challenge:** often, a set of observed concepts is *incomplete*, e.g. due to the cost of measurement or lack of domain knowledge

→ in this case, there exists a clear **performance-interpretability tradeoff**

Can we resolve this tradeoff?



# Interpretable Ultrasonography-based Models



# Diagnosis Prediction

---

<b>Model</b>	<b>AUROC</b>	<b>AUPR</b>
Random	0.50	0.75
Radiomics + RF	0.63±0.01	0.82±0.01
Single-view Black Box	0.70±0.07	0.88±0.04
Single-view Concept-based	0.64±0.06	0.84±0.04
Multiview Black Box	0.76±0.04	0.91±0.02
<u>Multiview</u> <u>Concept-based</u>	0.73±0.03	0.89±0.01
<u>Multiview</u> <u>Concept-based</u> <u>Semi-supervised</u>	0.80±0.03	0.92±0.02

# F **Interpretable and Intervenable Ultrasonography-based Machine Learning Models for Pediatric Appendicitis**

**Ričards Marcinkevičs** <sup>†</sup>  <sup>1</sup> , **Patricia Reis Wolfertstetter** <sup>†</sup> <sup>2</sup> , **Ugne Klimiene** <sup>†</sup> <sup>1</sup>,  
**Ece Ozkan**  <sup>3</sup>, **Kieran Chin-Cheong** <sup>1</sup>, **Alyssia Paschke** <sup>4</sup>, **Julia Zerres** <sup>4</sup>,  
**Markus Denzinger** <sup>2</sup>, **David Niederberger** <sup>1</sup>, **Sven Wellmann** <sup>4, 5</sup>,  
**Christian Knorr** <sup>‡</sup> <sup>2</sup>, **Julia E. Vogt** <sup>‡</sup>  <sup>1</sup>

# Outlook: SwissPedHealth

---

Expertise in pediatrics, rare diseases and omics, epidemiology, governance, PPI, computer science and engineering to improve children's health care.

## Main applicants

- Schlapbach, Luregn
- Vogt, Julia

## SPHN co-applicants

- Kuehni, Claudia
- Bielicki, Julia
- Posfay-Barbe, Klara
- Baumgartner, Matthias
- Latzin, Philipp
- Giannoni, Eric

## PHRT co-applicants

- Fellay, Jacques
- Borgwardt, Karsten
- Vayena, Effy

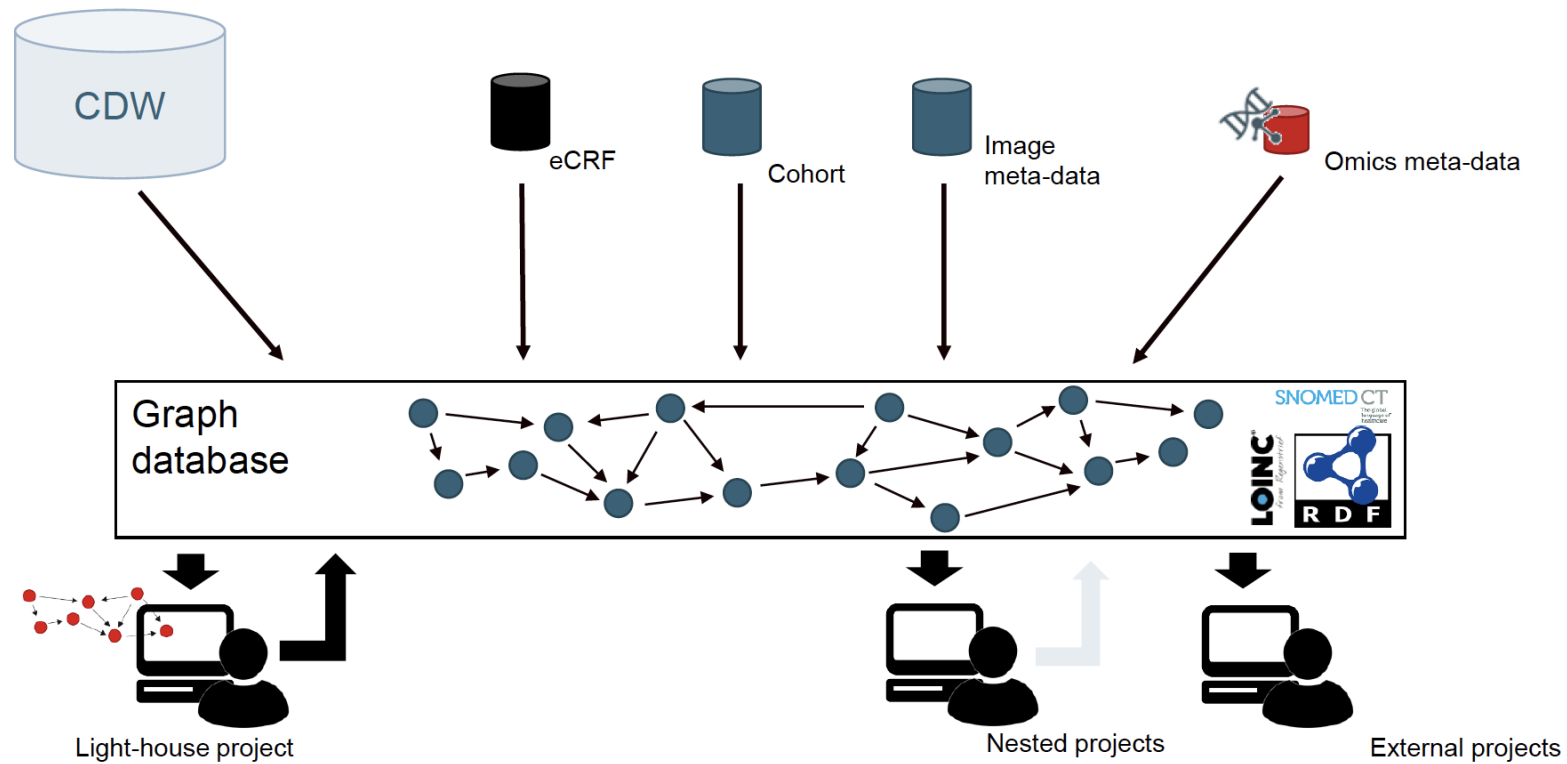
## Associated applicants

- Ormond, Kelly
- Stocker, Martin
- Lauener Roger
- Schulzke, Sven
- Froese, Sean
- Goetze, Sandra
- Pedrioli, Patrick
- Pachlopnik Schmid, Jana
- Zamboni, Nicola
- Rauch, Anita
- Spycher, Ben
- Forrest, Christopher

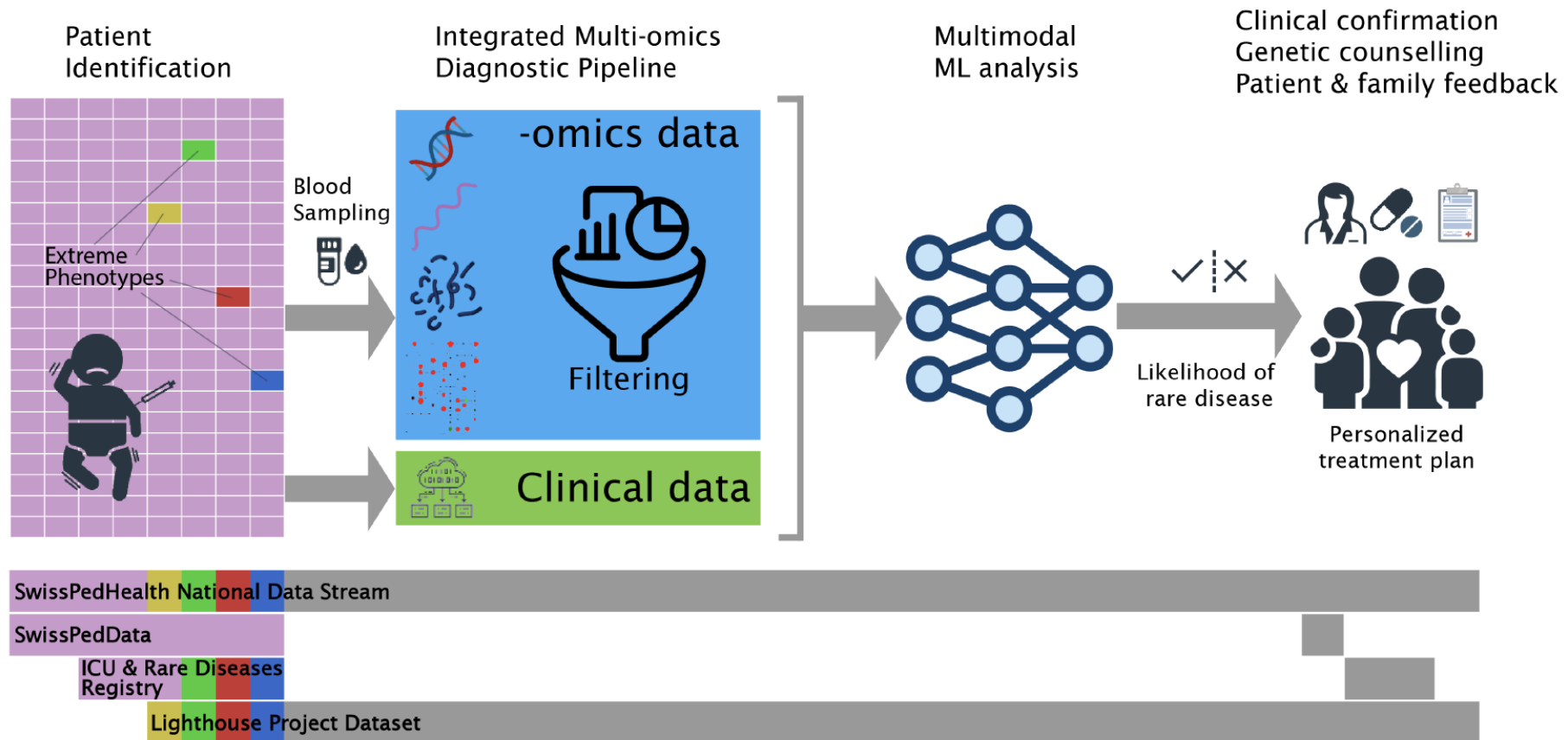


# Build a National Data Stream

## National Data Streams (NDS)



# Lighthouse: Detecting Rare Diseases in Children





# Bridging the Gap: Challenges and Chances

---

- Data Access & Availability
- Legal Agreements
- Ethics & Privacy
- Research Cultures
- Infrastructures



- Early Prediction/ Intervention
- Personalized Care
- Improved Diagnosis
- Enhanced Treatment
- Decision Support Tools

Challenges

Chances

# Machine Learning and Medicine: A Challenging Symbiosis

Develop novel machine learning methods and decision support tools

MACHINE LEARNING

medical\_\_\_\_  
data\_\_\_\_\_  
science\_\_\_\_

MEDICINE

Aim: improved patient care

